

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

(Check one)

☐ is attached hereto.

☒ was filed on _____ as United States Application No. or PCT International

Application Number PCT/AU99/00843 filed 1 October, 1999

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

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|-----------------------------|-----------------------------|-----------------------------|-------------------------------------|
| <u>PP6273/98</u> | <u>Australia</u> | <u>2 October, 1998</u> | <input checked="" type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | |
| <u> </u> | <u> </u> | <u> </u> | <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | |
| <u> </u> | <u> </u> | <u> </u> | <input type="checkbox"/> |
| (Number) | (Country) | (Day/Month/Year Filed) | |

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Applicant or Patentee: RICHARD JAMES LEWIS, ET AL. Attorney's
Serial or Patent No.: 09/806,376 - PCT APPLN No. PCT/AU99/00843 Docket No.: 14455
Filed or Issued: MARCH 29, 2001 - INTL FILING: OCTOBER 1, 1999
For: NOVEL PEPTIDES

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (d)) — NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION THE UNIVERSITY OF QUEENSLAND
ADDRESS OF ORGANIZATION St. Lucia, Queensland 4067, Australia

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
☐ Nonprofit scientific or educational under statute of state of The United States of America
(Name of state _____)
(Citation of statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) if located in
The United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located
in The United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR
1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the
invention entitled NOVEL PEPTIDES by inventor(s)
Richard James LEWIS, Paul Francis ALEWOOD, Iain Andrew SHARPE described in

- ☐ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

☒ International Patent Application No PCT/AU99/00843 filed 1 October 1999

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with
regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights
to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could
not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business
concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

*NOTE: Separate verified statements are required from each named person, concern or organization
having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitle-
ment to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee
due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information
and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements
and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States
Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or
any patent to which this verified statement is directed.

NAME OF PERSON SIGNING DOUGLAS PORTER
TITLE IN ORGANIZATION Secretary and Registrar
ADDRESS OF PERSON SIGNING The University of Queensland
Queensland 4072
Australia

SIGNATURE

Douglas Porter

DATE 30/5/01

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Stephen D. Murphy; Reg. No. 22,002

Leopold Presser; Reg. No. 19,827

William C. Roch; Reg. No. 24,972

Kenneth L. King; Reg. No. 24,223

Frank S. DiGiglio; Reg. No. 31,346

Edward W. Grolz; Reg. No. 33,705

Paul J. Esatto, Jr.; Reg. No. 30,749

John S. Sensny; Reg. No. 28,757

Mark J. Cohen; Reg. No. 32,211

Richard L. Catania; Reg. No. 32,608

Send Correspondence to: Leopold Presser, Esq.
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530

Direct Telephone Calls to: (name and telephone number)
Leopold Presser (516) 742-4343

| | |
|-------------------------------------|---|
| Full name of sole or first inventor | Richard James LEWIS |
| Sole or first inventor's signature | <i>R. Lewis</i> Date <i>30/4/01</i> |
| Residence | Woolloongabba, Queensland, Australia <i>AW</i> |
| Citizenship | Australian |
| Post Office Address | 20 Ross Street, Woolloongabba, Queensland 4102, Australia |

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| Full name of second inventor, if any | Paul Francis ALEWOOD |
| Second inventor's signature | <i>Paul Alewood</i> Date <i>25/1/01</i> |
| Residence | Moggill, Queensland, Australia <i>AW</i> |
| Citizenship | Australian |
| Post Office Address | 10 Vanwall Road, Moggill, Queensland 4070, Australia |

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|---|-------------------|
| Full name of third inventor, if any Iain Andrew SHARPE | |
| Third inventor's signature <i>Iain Sharpe</i> | Date 16/5/2001 |
| Residence Taringa, Queensland, Australia | |
| Citizenship Australian | |
| Post Office Address 6/99 Indooroopilly Road, Taringa, Queensland 4068, Australia | |

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| Full name of fourth inventor, if any | |
| Fourth inventor's signature | Date |
| Residence | |
| Citizenship | |
| Post Office Address | |

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|-------------------------------------|------|
| Full name of fifth inventor, if any | |
| Fifth inventor's signature | Date |
| Residence | |
| Citizenship | |
| Post Office Address | |

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| Full name of sixth inventor, if any | |
| Sixth inventor's signature | Date |
| Residence | |
| Citizenship | |
| Post Office Address | |